

Semi-Heuristic Acceleration of Near-Optimal Black Box Polynomial Root-Finders

Soo Go¹ and Victor Y. Pan¹

¹ CUNY USA

victor.pan@lehman.cuny.edu

sgo@gradcenter.cuny.edu

Given a positive b , a convex complex domain \mathbb{S} , and a d th degree polynomial p , possibly having any root clusters, near-optimal subdivision polynomial root-finders [3, 4, 5] approximate within $1/2^b$ all roots of p in \mathbb{S} . The root-finders work even for a *black box polynomial* represented by an oracle (black box subroutine) for its evaluation rather than its coefficients. Such root-finders are much harder to devise but avoid heavy penalty of coefficient swell for shifting and scaling the variable and for root-squaring; moreover, they run faster for polynomials evaluated faster, e.g., shifted sparse or defined by recurrence. Like [3, 4, 5] and unlike [1, 2], our semi-heuristic root-finders allow black box input polynomials with no restriction on their root clusters but accelerate [3, 4, 5] dramatically. Namely, [3, 4, 5] recursively perform σ -soft *exclusion/inclusion (e/i) tests* of discs in the complex plane and also *compress complex discs* well-isolated from external roots; for such a disc D , [3, 4, 5] approximate its sub-disc that shares root set with D and has minimal diameter. σ -soft e/i test of a disc $D(c, \rho) = \{x : |x - c| \leq \rho\}$ stops as soon as one verifies (i) *exclusion* - the disc $D(c, \rho)$ contains no roots of p or (ii) *σ -soft inclusion* - the concentric disc $D(c, \sigma\rho)$, for fixed $\sigma > 1$, contains a root. [3, 4, 5] approximate within $1/2^b$ all m roots in a small neighborhood of \mathbb{S} at the cost of evaluation of p at $O(vm \log(d))$ points with failure probability $O(1/2^v)$ or at $O(d \log(d))$ points deterministically. We decrease these near-optimal upper bounds to $O(\log(d))$ points, with some promise of further decrease to $O(1)$ points, and still certify inclusion in our e/i tests but support correctness of exclusion only empirically. We also accelerate [3, 4, 5] by a factor of over 100 at the disc compression stages - by means of extending e/i test of a complex disc to such a test of a complex ring (annulus).

References

- [1] Becker, R., Sagraloff, M., Sharma, V., Yap, C.: A near-optimal subdivision algorithm for complex root isolation based on the Pellet test and Newton iteration. *J. Symb. Comput.* **86** 51–96 (2018)
- [2] Imbach, R., Moroz, G.: Fast evaluation and root finding for polynomials with floating-point coefficients, *ISSAC'23*, 325-334 (2023)
- [3] Pan, V.Y.: Near-optimal black box polynomial root-finders, *Proc. ACM-SIAM Symp. on Discrete Algorithms (SODA24)*, ACM Press and SIAM, 2024.
- [4] Pan, V.Y.: Subdivision and Schröder's Polynomial Root-Finders Combined, In: *Procs. SYNASC*, 25-33, 2025.
- [5] Pan, V.Y.; Go, Soo; Luan, Qi; Zhao, Liang: A New Fast Root-Finder for Black Box Polynomials. *Theoretical Computer Science*, Volume 1027 (2025) 115022 (Sec. A: Algorithms, automata, complexity and games, Edited by Paul Spirakis), February 2025